

JANE SMITH

123.456.7890 | email@email.com | LinkedIn: url

CHIEF OPERATING OFFICER

MANAGED RISK ■ CROSS-ASSET TRADING ■ REGULATORY COMPLIANCE ■ EQUITY SALES & TRAINING ■ TURNAROUND STRATEGY

Multifaceted and seasoned operations executive leveraging a strong technical and mathematics background, deep risk management, cross-asset class trading, and with business strategy experience. Demonstrated results leading the development and execution of operational and risk strategy that drives a controlled, stable, and profitable organization. US and British citizen.

Operational Management & Risk Strategy: Led the building, transformation, governance strategy for several start-up and existing organizations for a multibillion-dollar, multinational investment bank and financial services company.

Strategy, Vision & Mission Planning: Brought strategy and operational execution across high-profile projects, branding and organizational transformations, technology platforms, and financial/trading products.

Leadership Development: Experienced in building and leading high performing leadership teams that succeed through a culture of performance and engagement. Active in recruiting, promotion, mentoring, and employee engagement and diversity programs.

Areas of Expertise

- Operations Management
- Transformation Management
- Strategic Business Planning
- Front-office & Production
- Risk Management Governance
- Scaling for Growth & Profitability
- Partnership Development
- Strategy Planning & Execution
- Financial Services Platforms & Products
- Staffing Models & Hiring Strategy
- Data, Math & Technology
- Communications & Presentations

PROFESSIONAL EXPERIENCE

ABC BANK – San Diego, CA

2000 - Present

Progressed through roles of increasing scope, responsibility, and complexity during a 19+-year career progression with the financial institution. Navigated industry, regulatory, and economic fluctuations while delivering results in organizational leadership, model risk management, regulatory compliance, and technology.

COO, Model Risk Management Governance, Operations & Initiatives (2014 - Present)

Appointed to the role to build, transform, and lead the Model Risk Management (MRM) COO group. Scope of responsibility includes the development and implementation of model-driven governance routines, framework components, and systems. Managed organization-wide model inventory platform, controls, and MIS.

- Developed an overarching hiring strategy that included an elaborate staffing model for determining resource requirements and tracking and utilization targets.
- Recognized for setting performance bar for the risk organization as a whole by establishing repeatable and sustainable processes for the group.
- Leveraged front-office experience and skills to rebrand the team and elevate them from a poorly-performing team to one that is highly respected and adds value across the organization.
- Led reviews/exams with OCC, FRB, and internal audit team. Represented the bank to industry and regulatory organizations and reported to the PMO weekly on behalf of the model risk management group.

Director, EMEA Rates and Currencies COO / EMEA Global Credit Products COO (2008 - 2014)

Transitioned to lead strategy development and execution for this London-based management team. Maximized profits and managed expenses for loans, rates, and credit products in a high-risk and regulatory environment. Worked with fixed-income global quantitative, technology, sales, and trading groups in achieving strategic targets for the electronic trading IRS and government bond trading platforms.

- Interpreted and implemented regulatory requirements affecting the FICC business. Partnered with equities trading business, legal, and regulatory affairs team on internal strategies, technology, and controls for managing external impacts on trading platforms and clients.